Application Serial No.: 09/893,460
Attorney Docket No.: 055444-0311366
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AMENDMENT

Amendments to the Claims: Please replace all prior versions and listings of claims with the following listing of claims.

LISTING OF CLAIMS:

(Currently Amended) A system for monitoring analysts' estimates, comprising:

at least one means for storing, in a data repository configured to store, on a per analyst basis, current estimate data for a plurality of analysts, wherein the current estimate data stored in the at least one data repository includes including at least an estimate amount and when the estimate was made; and

a computer communicatively coupled to the at least one data repository, wherein the computer is configured to:

<u>analyze</u> means for analyzing the current estimate data to determine when one or more alert conditions are satisfied; and

identify at least one of the plurality of analysts associated with the one or more satisfied alert conditions; and

issue means for issuing, via a graphical user interface displayed on a display device, an alert indicating that the when at least one or more of the satisfied alert conditions further satisfy at least one predetermined alert condition for have been satisfied for the at least one of the plurality of analysts identified analyst, wherein a terminal device in communication with the computer is configured to display the issued alert on a display device via a graphical user interface.

- (Currently Amended) The system of claim 1, wherein at least one of the alert conditions conditions is based on whether an analyst's satisfied if the current estimate data for at least one of the plurality of analysts is older than a predetermined amount of time.
- (Currently Amended) The system of claim 1, wherein at least one of the alert condition
 conditions is based on whether an analyst's satisfied if the current estimate data for at least

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one of the plurality of analysts is older than a date associated with a detected cluster of estimate revisions.

4. (Currently Amended) The system of claim 1, wherein at least one of the alert conditions includes one or more criteria that define a cluster of estimate revisions, and wherein the computer is further comprising configured to:

receive a definition of the one or more criteria means for defining conditions that constitute define the [[a]] cluster of estimate revisions[[,]] from a user of the terminal device;

analyze the current estimate data to determine when the criteria that define detecting the cluster of estimate revisions [[,]] are satisfied, wherein the cluster of estimate revisions is associated with a date; and

issue the issuing at least one alert when an analyst's if the current estimate data for at least one of the plurality of analysts is older than [[a]] the date associated with the detected cluster of estimate revisions.

 (Currently Amended) The system of claim 4, wherein the computer is further configured to:

identify a period of time associated with the at least one alert condition that includes the criteria defining the cluster of estimate revisions, wherein the at least one predetermined alert condition is based on whether an analyst's satisfied if the current estimate data for at least one of the plurality of analysts is more than the identified a predetermined period of time older than [[a]] the date associated with the detected cluster of estimate revisions.

(Currently Amended) The system of claim 1, wherein computer is further configured to:

analyze the current estimate data to determine a summary metric of the current estimate data for the plurality of analysts; and

identify a value associated with the determined summary metric, wherein at least one of the alert conditions is based on whether an analyst's satisfied if the difference

between the determined summary metric and the current estimate data for at least one of the plurality of analysts differs from a summary metric of a plurality of analysts' estimates by a predetermined equals or exceeds the identified value.

- 7. (Currently Amended) The system of claim 6, wherein the summary metric comprises a measure of central tendency of the current estimate data for the plurality of analysts, wherein the measure of central tendency emprising comprises at least one of a mean, median, or mode of the current estimate data for the plurality of analysts.
- 8. (Cancelled)
- (Currently Amended) The system of claim [[8]] 6, wherein the summary metric is
 qualified by at least one qualifying metric comprises at least one of a predetermined time
 period, a particular security, or a group of securities.
- 10. (Currently Amended) The system of claim 6, wherein the predetermined identified value is more than "n" standard deviations away from a mean estimate the summary metric of the current estimate data for the plurality of analysts, wherein "n" is an integer.
- 11. (Currently Amended) The system of claim 6, wherein the predetermined identified value is more than a predetermined number of comprises a currency unit units away from the summary metric of the current estimate data for the plurality of analysts.
- 12. (Currently Amended) The system of claim 6, wherein the predetermined identified value is more than a predetermined comprises a percentage away from the summary metric of the current estimate data for the plurality of analysts.
- 13. (Currently Amended) The system of claim 1, wherein the terminal device in communication with the computer is further comprising configured to:

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simultaneously display, via the graphical user interface, information relating to means for displaying the at least one identified analyst, the current estimate data for the identified analyst at least one analyst's estimates, and simultaneously displaying an a visual alert indicator in association with the at least one analyst's current estimate data for the identified analyst that satisfies the predetermined alert condition.

(Currently Amended) The system of claim 13, wherein the terminal device in 14. communication with the computer is further comprising configured to:

display, via the graphical user interface, means for further displaying data associated with the one or more the predetermined satisfied alert condition conditions.

- (Currently Amended) The system of claim 14, wherein the data associated with the 15 predetermined one or more satisfied alert condition conditions comprises an indication of the predetermined satisfied alert condition conditions.
- (Currently Amended) The system of claim 14, wherein the data associated with the 16. predetermined one or more satisfied alert condition conditions comprises data relating to when the predetermined one or more alert condition was conditions were satisfied.
- (Currently Amended) The system of claim 14, wherein the data associated with the 17. predetermined one or more satisfied alert condition conditions comprises an indication of the predetermined satisfied alert condition conditions and data relating to when the predetermined one or more alert condition was conditions were satisfied.
- (Currently Amended) The system of claim 1, wherein the terminal device in 18. communication with the computer is further comprising configured to:

display, via the graphical user interface, means for displaying the current estimate data for a list of two or more of the [[a]] plurality of analysts' estimates analysts, where wherein each of the analysts in the list are associated with at least one comprises a group of analysts for

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which there is at least one the satisfied alert condition conditions.

19. (Currently Amended) The system of claim 18, wherein the group <u>list</u> of analysts further

comprises includes at least one of analysts associated with a particular firm[[,]] or analysts with

estimates current estimate data in a particular industry.

20. (Currently Amended) The system of claim 1, wherein the terminal device in

 $\underline{\text{communication with the computer is}} \text{ further } \underline{\text{comprising }} \underline{\text{configured to}} :$

display, via the graphical user interface, means for displaying the current estimate data

for a list of two or more of the [[a]] plurality of analysts' estimates, wherein each of the

<u>analysts in the list are associated with at least one comprises</u> of analysts for which there is at least one the satisfied alert condition conditions; and

display, via the graphical user interface, means for displaying data associated with the

at least one of the satisfied alert condition conditions.

21. (Currently Amended) The system of claim 1, wherein the terminal device in

communication with the computer is further comprising configured to display, via the graphical

user interface, means for enabling a user to view all of the satisfied alert conditions associated

with the at least one identified analyst.

22. (Currently Amended) The system of claim 1, wherein the terminal device in

communication with the computer is further comprising configured to display, via the graphical

user interface, means for enabling a user to view one or more of the satisfied alert conditions

associated with the at-least one identified analyst.

23. (Currently Amended) The system of claim 1, wherein the computer is further

configured to receive a selection of a time period from a user of the terminal device, and

wherein the terminal device in communication with the computer is further comprising means

for enabling a configured to display, via the graphical user interface, user to view all of the

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satisfied alert conditions associated with the atleast one identified analyst for atleast one the user-selected time period.

- 24. (Currently Amended) The system of claim 1, wherein the computer is further configured to receive a selection of a time period from a user of the terminal device, and wherein the terminal device in communication with the computer is further comprising means for enabling a configured to display, via the graphical user interface, user to view one or more of the satisfied alert conditions associated with the at least one identified analyst for at least one the user-selected time period.
- 25. (Currently Amended) The system of claim 1, wherein the computer is further comprising means for enabling configured to receive a definition of a user to define the alert conditions from a user of the terminal device.
- 26. (Currently Amended) The system of claim 1, wherein the computer is further comprising means for enabling configured to receive a definition of a user to specify an action to be taken when one or more of the alert conditions are satisfied from a user of the terminal device.
- 27. (Currently Amended) The system of claim 26, wherein the action comprises the computer transmitting a message corresponding to the issued alert to a predetermined device.
- 28. (Currently Amended) The system of claim 27, wherein the computer is configured to transmit the message is transmitted by at least one of e-mail, phone, fax, or pager.
- 29. (Currently Amended) The system of claim 1, wherein the computer is further configured to transmit a message is transmitted to a specified predetermined recipient when the at least one predetermined alert condition is satisfied for based on the at least one identified analyst.

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30. (Currently Amended) The system of claim 29, wherein the specified predetermined

recipient is the at least one identified analyst.

31. (Currently Amended) The system of claim 29, wherein the $\frac{1}{2}$

recipient is someone associated with the at least one identified analyst.

32. (Currently Amended) The system of claim 1, wherein the computer is further

configured to receive comprising means for enabling a user to specify a frequency for analyzing

the current estimate data to determine when the one or more alert conditions are satisfied

from a user of the terminal device.

33. (Currently Amended) The system of claim 1, wherein the computer is further

configured to receive comprising means for enabling a user to specify a frequency for issuing

the alert indicating that the at least one or more predetermined alert condition has conditions

<u>have</u> been satisfied <u>for the identified analyst from a user of the terminal device</u>.

34. (Currently Amended) The system of claim 1, wherein the current estimate data

amount relates to an estimate of a security's earnings for a fiscal period.

35. (Previously Presented) The system of claim 34, wherein the fiscal period comprises at

least one of a fiscal quarter, a plurality of fiscal quarters, a fiscal year, or a plurality of fiscal

years.

36. (Previously Presented) The system of claim 1, wherein the current estimate data

comprises a financial projection.

37. (Currently Amended) The system of claim 1, wherein the computer is further

configured to receive a comprising means for enabling an analyst to change or confirm

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<u>confirmation of the current estimate data from at least one of the plurality of analysts</u> in response to the issued alert.

- 38. (Currently Amended) The system of claim 37, wherein an alert indicator changes when the at least one of the analyst has plurality of analysts changes changed or confirmed confirms the current estimate data in response to the issued alert.
- (Currently Amended) The system of claim 37, wherein a different visual representation
 of an alert indicator is provided depending on an action taken in response to the <u>issued</u> alert.
- 40. (Cancelled)